

## **ETHNIC POPULATION PROJECTIONS: A REVIEW OF MODELS AND FINDINGS**

Philip Rees with Pia Wohland, Paul Norman and Peter Boden  
School of Geography, University of Leeds, Leeds LS2 9JT, UK  
Email: [p.h.rees@leeds.ac.uk](mailto:p.h.rees@leeds.ac.uk), Tel +44 (0)113 343 3341

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### **ABSTRACT**

Developed world populations are being changed by three interacting trends: below replacement fertility for three to four decades, steadily improving life expectancies, particularly at older ages and significant inflows of migrants to the richest countries. These trends mean fewer children than in the baby boom years and a greater number of older people, with population ageing about to accelerate as baby boomers born 1946 to 1975 cross various old age thresholds. Population ageing is mitigated in part and over the medium term by international immigration to developed countries from developing countries. Because the ethnic make-up of the immigrant stream is different from that of the already settled population, the ethnic composition of developed country populations has been moving away from dominance by white Europeans towards both greater diversity of groups and a larger population of mixed parentage. These changes have been labelled the third demographic transition. To build a picture of how these changes will work over our life-times and those of our children and grandchildren, we need to construct projections of the populations of the different ethnic groups.

This paper reviews alternative approaches to projection of ethnic minority populations. The models are generally adaptations of the standard cohort-component projection model. The adaptations are designed to handle the different conceptualizations of ethnicity. Ethnicity may be based on use of distinctions between native and foreign (country of birth groups), between citizen and non-citizen (nationality groups), between white and non-white (racial groups) and between combinations of race and national origin (ethnic groups). Models can vary from ones in which the groups are strictly separate and change in parallel (e.g. United States), to ones in which after one or two generations transfer into the host population, to ones in which new mixed groups arise through inter-marriage and mixed ethnicity parentage (e.g. UK) and in which people can have multiple group membership (e.g. New Zealand).

In implementing any ethnic projection model, it is necessary to develop estimates of the relevant group components (fertility, mortality, internal and international migration). Often there is inadequate information available and indirect estimation of the necessary input variables is needed. The paper illustrates for a UK set of local areas the way in which ethnic group components have been estimated.

# 1. INTRODUCTION

## 1.1 Context

Developed world populations are being changed by three interacting trends: below replacement fertility for three to four decades, steadily improving life expectancies, particularly at older ages and significant inflows of migrants to the richest countries. These trends mean fewer children than in the baby boom years (circa 1946 to 1975) and a greater number of older people, with population ageing about to accelerate as baby boomers born in the years 1946 to 1975 cross various old age thresholds. Population ageing is mitigated in part and over the medium term by international immigration to developed countries from developing countries. Because the ethnic make-up of the immigrant stream is different from that of the already settled population, the ethnic composition of developed country populations has been moving away from dominance by white Europeans towards both greater diversity of groups and a larger population of mixed parentage. The main demographic consequence of sustained flows of international migrants into a country and its regions is the growth of the populations of immigrants and their descendants and, if the settled or native population has low rates of growth, the subsequent changes in ethnic composition of the population. This, in turn, leads to changes in national identity and culture. Coleman (2006a, 2006b) has labelled this sequence of events the *Third Demographic Transition*.

Countries need to have a view of their future, under different scenarios. One aspect of that future will be the size, age structure and ethnic composition of the national population, given various assumptions. These demographic features are likely to change substantially for developed countries such as the United Kingdom over the next 50 years. What demographers normally do to explore the future is to carry out projections of the population. So far, these projections have taken into account the age and sex structure of the population and its spatial distribution at country, region and local levels (ONS and GAD 2006, ONS 2008a), but ethnic composition has not so far been included routinely in projections.

## 1.2 An example of changing ethnic composition: the case of the UK population

The population of the United Kingdom is continuing to grow at a moderate pace, 0.64% in 2006-7. There are several factors promoting continued growth: the remaining demographic momentum of high fertility in the 1960s and early 1970s, the recent rise (catch-up) in fertility levels, the continuing improvement of survival of people to and within the older ages and the ongoing high level of net immigration (ONS 2008b). Births have risen from 663 thousand in 2001-2 to 758 thousand in 2006-7, while deaths have decreased from 601 thousand to 571 thousand. Natural increase has risen since 2001 to contribute 48% to population change in 2006-7 from only 30% in 2001-2. Immigration has

grown in the same period from 484 thousand in 2001-2 to 683 thousand in 2006-7. Emigration has also increased from 334 thousand to 406 thousand. Net migration was 148 thousand in 2001-2 and 198 thousand in 2007-8 but had been 262 thousand in 2004-5 in the period of highest immigration from the new EU member states.

This population growth varies considerably from place to place (Dunnell 2007). Growth is highest in the East Midlands, East, South West and Northern Ireland regions in the year 2001-2006 but each region has a few local authorities that have experienced decline.

Against this back cloth of demographic change, the ethnic composition of the population is changing quite fast. ONS estimates for England for the 2001-6 period show a 2.7% increase in the total population, a 0.4% decrease in the White British group and a 23.0% increase in not-White British group (ONS 2007, Large and Ghosh 2006a, 2006b). In 2001 the White British made up 87% of the England population and ethnic minorities 13%. By 2006 this had shifted to 84% White British and 16% ethnic minorities. Both immigration and natural increase of the not-White British contribute to substantial population change, which varies considerably across the local authorities of the UK. Profound change in the size and composition of the UK's local populations is in prospect.

### **1.3 Aim of the paper**

The aim of this paper is to review the field of ethnic population projection, building on an earlier review by Coleman (2006b) but looking at the alternative methods rather than outcomes. Why might we want to project the population of the ethnic groups of a developed country? The first reason is that if demographic intensities (either rates or probabilities) vary substantially across sub-groups of the population, then that heterogeneity needs to be taken into account in constructing projections. There is plenty of evidence of such heterogeneity (ONS 2004). The second reason is so that we can plan for the future more intelligently, to reach social goals (greater equality of opportunity across ethnic groups), economic goals (to assess the future labour supply in terms of size and skills and determine what policy is needed to improve skills of the resident population) and community goals (the provision of the right schooling, the right mix of goods and services). You might object that the future is likely to be uncertain, so that projections will always turn out to be wrong. But the range of uncertainty can be estimated either by running many projections under different variants or scenarios or by sampling from error distributions of summary indicators of the main component drivers, fertility, mortality and migration.

There are, however, a number of challenges involved in carrying out ethnic population projections. How should ethnic groups be defined? How should they interact demographically? How do we estimate the key ingredients - fertility, mortality, internal and international migration by ethnic group - in the face of inadequate data? What kind of projection model should be employed? What assumptions should we adopt for future fertility, mortality or migration differences? How do we validate our projections?

## **1.4 Outline**

The plan of the paper is as follows. In the second section we describe the ingredients (the state space) necessary for carrying out a projection of ethnic group populations. We discuss the alternative classifications of ethnicity which are available, their advantages and disadvantages and the consequences of the definitions for population change. We clarify how age and time should be handled in the projection. If more than one spatial unit is employed in the projections, then there are a number of choices to be made in how the projections are carried that also apply to ethnic groups. In section three we discuss a variety of models and associated software that have been used to project spatial populations including ethnic group populations. In section four of the paper we review, with particular reference to the UK, how estimates of the inputs to ethnic projections can be made when directly measured data are not available. In section five we reflect on what has been learnt from the review.

## **2. INGREDIENTS FOR PROJECTING OF ETHNIC GROUP POPULATIONS**

To carry out a population projection we need to define the state space within which the projection is made operational, that is the classifications of the population into groups. Then we need to adopt a model form that represents the processes of population change that occur. To drive the model we need a set of benchmark component data sets and in the case of ethnic populations this may involve a considerable effort of estimation. Finally, we need a set of assumptions about how those components will develop in the future. In this section we discuss the first of these ingredients, the state space.

### **2.1 Ethnic groups: what are they and how do people change ethnicity?**

In this section of the paper we discuss the various meanings of the term ethnic group and whether and how people change their ethnicity. In terms of its etymology, “ethnic” means belonging to a nation, an “ethnos” (Greek). Belonging to a nation may be defined using one or more variables that can be measured in surveys or censuses or recorded on registers. In general, persons are born into an ethnic group and tend to remain in that group for the rest of their lives. This contrasts with age and family/household status which change as a person’s life course proceeds. It also differs from social class, largely linked to occupation, which can change through the working part of the life course

through upward or downward social mobility. The variables used to define ethnicity include: country of birth, country of citizenship/nationality, country of family origin, racial group (defined mainly in terms of skin colour or facial features), language, religion or through self-identification.

However, many of these statuses used to define ethnicity do change over time and lead to problems in identifying groups. For example, use of a country of birth different from that of current residence applies most usefully to groups that have immigrated recently. Their children and grandchildren born in the country to which they migrated no longer share this characteristic. Nationality changes through the acquisition of citizenship through application. The criteria for eligibility include, depending on country, residence for a period of time in the host country, testimonials from citizens about the standing of applicants, the absence of a criminal record, a language test, a knowledge test and family connections to citizens. People whose ethnicity is defined by religion may change through conversion of religious belief. Where a person's ethnicity is defined by self-identification, they may change their identification over time. Rees (2002) made suggestions about how these might be incorporated into a projection when adolescents become adults. However, robust empirical evidence on the extent of changes in ethnic self identification is lacking.

## **2.2 An example of the complexity of ethnic classification: the case of the UK**

Ethnic classifications in the United Kingdom are based on self-reporting through census or social survey questionnaires. A full guide to ethnic classifications used in UK official statistics is provided in *Ethnic Group Statistics* (ONS 2003a). Considerable consultation and debate goes into the formulation of the question. The resulting categories are a compromise between the demands of pressure groups interested in counting and promoting their own group and a need to make the question one that the whole population can understand. Ethnic classifications change over time recognising the evolution of groups as a result of migration from the outside world and as a result of marriage/partnership of people from different groups resulting in children of mixed ethnicity.

Table 1 shows the ethnic group classifications adopted in the 2001 Census of the UK, which differ from those in the 1991 Census in recognizing several mixed groups. There are different classifications, specific to each home country within the UK. In England and Wales 16 groups are used; in Scotland, 5 groups are used; in Northern Ireland 12 groups are used. The classifications are based on two concepts: race and country of origin (either directly through migration or through ancestry). Many studies (e.g. Rees and Parsons 2006, Parsons and Rees 2009) used a collapsed version of the classification (e.g. White, Mixed, Asian, Black, Chinese & Other) but these

amalgamated classes hide huge differences in terms of timing of migration to the UK, age-sex structures, population dynamics and socio-economic and cultural characteristics.

[Table 1 about here]

Most studies (e.g. Coleman and Scherbov 2005, Coleman 2006b, Rees and Butt 2004) drop the Mixed group. Since the 2001 Census revealed this to be the fastest growing group such an omission is regrettable. The omission occurs particularly when comparing 1991 and 2001 Census results. For example, Rees and Butt (2004) adopted the 1991 Census classification as the common classification for their analysis of ethnic population change in England and reallocated the mixed groups proportionally back to their parent groups (Table 2). Most authors allocate each of the mixed groups back to their non-White parent group.

[Table 2 about here]

The proposals for the 2011 Census questions on ethnicity and a new question on national identity are set out in Figure 1 (White and McLaren 2009). The broad (and race-based) groups from 2001 are retained but some details will change. The first category under White recognizes the complexity of national identity for this group. The Chinese group has been relocated under the Asian/Asian British grouping. Arab ethnicity is recognized for the first time.

[Figure 1 about here]

### **2.3 Sexes/genders in ethnic population projection models**

Most variables in projection models are classified by sex/gender. The sexes only interact in the fertility process, where a female dominant fertility model is normally adopted. The one special ingredient that is needed in an ethnic projection model is a fertility module for generating mixed births. Mothers of one ethnic group may have husbands or partners of another and their children will be of mixed ethnicity. If there is information on the birth registration record about the ethnicity of mother and father, then it is straightforward to compute the probabilities that mothers of one ethnic group will give birth to children of mixed ethnicity. Such classifications are not used on UK birth registration records although country of birth is recorded. However, in a substantial fraction of birth records the details of the father are missing (this is why fertility models are female-dominant). In that situation, researchers resort to using proxy variables from large household surveys or household

microdata samples from censuses. Within each family household it is possible to identify children under one year of age or under five years of age together with their mothers and fathers (if present). Children will have been assigned an ethnicity by the household representative completing the census form. It is therefore possible to tabulate the ethnicity of the child against his/her mother's ethnicity.

#### **2.4 Ages: dealing with age-time space properly**

Period-cohorts are the key age-time concept used in cohort-component projection models. A period-cohort is the space occupied by a birth cohort in a time period and shows how persons aged  $x$  at the start of year  $t$ , born in year  $t-x$ , age forward over one year to be aged  $x+1$  at the start of year  $t+1$ . We recognise two different classifications: period-age and period-cohort. Many vital statistics are classified using the period-age scheme, but for projection models it is essential to use the period-cohort age-time-plan. In many projection models the ageing process is implemented after the component population processes (survival, migration and fertility) have been implemented.

It is advantageous to use single years of age in a projection model wherever the data allow so that projections for each year can be produced and so that aggregate age groups can be flexibly constructed. There is a strong argument that the age range of the population should be extended to 100 and over, recognising the higher rates of survival into the older old ages that are now present in the population and recognising the important demands for care generated by the older old population. Many national statistics offices are now extending their statistical tables to include populations at greater ages than 100. But such an extension is probably too ambitious currently for ethnic groups or for sub-national populations and certainly for the combination.

Handling the last period-cohort in a projection model usually requires some assumption. In order to project the population aged 100+, the researcher needs to estimate survivorship probabilities for an additional period cohort (100+ to 101+), in the absence of good data on events for the 100+ population. To overcome this absence, one solution is to assume that the survivorship probabilities in the 99 to 100 and 100+ to 101+ period-cohorts are equal to the survivorship probability for the 99+ to 100+ cohort which can be estimated. This assumption is not unreasonable as in very old populations we observe a slowing down of the increase of mortality with age.

The age-time classification used to compute fertility rates is often a period-age plan. Most researchers convert these period-age fertility rates into period-cohort rates by averaging successive period-age rates within the fertility model of the projection model. However, this is not necessary if the fertility computations are placed after the computations for the existing populations at the start of the period. If this is done, then the start of year and end of year populations by age will be known and so period-

age fertility rates can be multiplied by the average female population in an age group to produce the projected births for that year.

## 2.5 Regions and migration

Most ethnic population projections produced to date are for national populations (Coleman 2006), though the US Bureau of the Census (Campbell 1996) produces state projections for five race/ethnicity populations. Where sub-national units are used, then consideration must be given to how migration between them is handled. There are two general approaches: (1) to treat each sub-national unit as a single unit with streams of in- and out-migration and (2) to handle all sub-national units together and to represent migration as flows or rates between them. The former single region approach is easier to compute. The latter multiregional approach is more elegant theoretically but more difficult to compute if there are a large number of sub-national units.

[Table 3 about here]

For **single region models**, it is customary to introduce migration as a total net migration addition or subtraction to the population. This is unsatisfactory as this gives no insight into which of the many migration streams are producing the net result. It is better to clearly recognize four separate migration streams, even though it may be difficult to estimate these for ethnic groups. The four streams are: (1) immigration to the sub-national unit from outside the country, (2) emigration from the sub-national unit to the outside world, (3) in-migration from the rest of the country to the sub-national unit and (4) out-migration from the sub-national unit to the rest of the country. There is then a choice about whether to handle the migration streams using a migration rate and population at risk or using an estimated migration flow. In a projection of the ethnic group populations for 13 regions in the UK, Rees and Parsons (2006), emigration and internal out-migration were modelled using rate and populations at risk for the origin region, while immigration and internal in-migration were represented in the model as flows.

The **multi-regional** model form recognizes that in-migrants to a sub-national unit are, in fact, out-migrants from other sub-national units (Rogers 1990) and that the set of flows are best modelled simultaneously. Immigration and emigration are handled as flows and rates respectively. The form of the multiregional model depends on the way in which the migration data used are measured. There are two types of measure: transition and movement. Transition migration results from comparison of a person's location at two points in time. If they are different, a transition has occurred. Movement



migration results from a recording of sub-national unit to sub-national unit migrations that occur in an interval. The count of moves/migrations is equal to or greater than the count of transition/migrants.

## **2.6 Dealing with uncertainty**

Ethnic population projections also need to provide the user with some idea of the uncertainty associated with the projections.

Traditionally, this has been done through high and low **variant projections** around a principal projection (see ONS and GAD 2006, ONS 2008a for national examples). The number of variant projections can become large if all combinations of high, middle and low assumptions for each component were selected. There are also decisions to be made about the ways in which the high, middle and low variants work themselves out across the sub-national units and the ethnic groups. We need to worry about whether mortality and fertility are converging to or diverging from a national mean trend or whether sub-national and ethnic group distributions of immigration and emigration, for example, are changing.

One solution is to design **scenario projections** which combine particular variants to produce a coherent picture of the alternative future. Such a set of scenarios are being developed for NUTS2 regions across Europe in the DEMIFER project (ESPON 2009). Another solution to uncertainty is the development of **stochastic/probabilistic projections** (see Wilson and Rees 2005 and Booth 2006 for reviews). An example of stochastic projection applied to ethnic group projections is given in Coleman and Scherbov (2005) for the UK population.

## **3. POPULATION PROJECTION MODELS ADAPTED FOR ETHNIC GROUPS**

Do we need to develop new models for handling ethnic population projections? Could not existing models and associated software be used to produce the projections? We consider the advantages and disadvantages of current models and software. Table 4 provides a summary of work over several decades in the UK that has produced either population estimates by ethnicity or population projections by ethnicity. The methodologies used in the reports are listed in the final column of the table and these are discussed in this section of the paper.

[Table 4 about here]

### **3.1 Single-region models: POPGROUP, JRF Model**

Simpson, Andelin Associates and colleagues (CCSR 2009) have developed a suite of spreadsheet macros called POPGROUP that implement a single-region cohort-component model with net migration, which is widely used by Local Governments and has been applied to ethnic forecasts for Birmingham, Oldham, Rochdale and Leicester (Simpson 2007a, 2007b, 2007c; Simpson and Gavalas 2005a, 2005b, 2005c; Danielis 2007). Rees and Parsons (Rees and Parsons 2006, Parsons and Rees 2009) in work for the Joseph Rowntree Foundation (JRF) used a single-region cohort-component model for UK regions which used four migration streams: internal out-migration and emigration as intensities (probabilities) and immigration and internal in-migration as flows.

These models have the key advantage of being relatively easy to implement and use for a large number of sub-national units and ethnic groups. They suffer from an important disadvantage of neglecting the important nexus in multistate population dynamics: that the out-migrants from one region become the in-migrants to other regions (Rogers 1990). If we wish to introduce a model of migration rather than just the migration rates, then this is best accomplished through the framework of a multi-regional projection.

### **3.2 Multi-region models: LIPRO, UKPOP**

Since the 1970s various programs have been developed to implement the multi-regional cohort-component model. In the early 1990s a general version was developed at NIDI by van Imhoff and Keilman (1991) for use with household projections but in a form in which other state definitions could easily be introduced. The software is made available (NIDI 2008) though no longer supported as a licensed package. There is some uncertainty about the capacity of this software for handling “transition data” (e.g. census migration), having been designed for inputs of “movement data” (e.g. register events). It is still intensively used at NIDI and by Eurostat for various projections and by some researchers in the UK.

In the UKPOP model (Wilson 2001, Wilson and Rees 2003) the accounts based model developed by Rees (1981) is developed for a full set of UK local authorities. The accounts based model relies on iteration to make consistent the relationship between observed deaths in a region (the variable generally available) and the deaths to the population in the region at the start of the interval (who die in that region and elsewhere). Efforts by Parsons and Rees to re-apply this model met with difficulties in achieving convergence in the iterative procedure. The model could generate for older ages negative probabilities of survival within a region, for example. The reason for this was that populations, deaths and migration come from different data sources (e.g. census and vital register) which may be

inconsistent and in error at the oldest ages. Wilson and Bell (2004a) and Wilson *et al.* (2004) have used simpler versions of the multi-regional model in important work in Australia with either much smaller numbers of spatial units or using a sequence of bi-regional models. This work builds on experiments by Rogers (1976). Wilson and Bell (2004b) establish that a set of bi-regional models gives results close to a full multiregional model. Wilson (2008) has also developed a model for the indigenous and non-indigenous population of the Northern Territory, Australia, which has a number of very useful features.

### **3.3 Multiregional models: ONS Sub-national model for England, GLA model for London Boroughs**

Both these models have a long pedigree and are in continued use. The ONS Sub-national model for Local Authorities in England is implemented by the Office for National Statistics in collaboration with outside contractors. A broad outline of the methodology is in the public domain (ONS 2008c). The results of GLA model are frequently published but again only some of the details of the underlying model are in the public domain (London Research Centre 1999, Storkey 2002a, Hollis and Bains 2002, Bains and Klodawski 2006, Bains and Klodawski 2007).

### **3.4 Nested multi-region models (MULTIPOLES)**

Kupiszewski and colleagues at CEFMR (Kupiszewska and Kupiszewski 2005, Bijak *et al.* 2005, Bijak *et al.* 2007) have developed a model from an idea by Rees *et al.* (1992) that uses several layers. For example, in a projection study of 27 EU states (Bijak *et al.* 2005) three layers are recognised: inter-region migration within states, inter-state migration within the EU and extra-EU migration. This approach enables different models to be used in the different layers within a consistent accounting framework.

### **3.6 A UK example of the design of a projection model for ethnic groups**

This section of the paper introduces a design of a projection model for ethnic groups currently being worked on. The model uses a **transition** framework because the vital internal migration information derives from the decennial census. The model can be adapted where similar migration data sets are available.

Every projection model has an explicit or implicit accounting framework, which must be consistent. Table 5 provides a picture of the population accounting framework used in the model. The accounting framework consists of a matrix of population flows to which are added a column of row totals and a row of column totals to constitute an accounts table. The row totals contain births (in the case of the first, infant period-cohort) or start populations (for other period-cohorts) and totals of (surviving)

immigrants. The column totals contain deaths (non-survivors) and final populations in an interval. Table 4 sets out the accounting framework for zones (local areas/authorities) within England and Wales with Scotland and Northern Ireland being handled as single zones. The table variables are for a typical period-cohort, gender and ethnic group combination.

[Table 5 about here]

What are the key features of this framework?

The first feature is that the table holds transition data rather than events data. Transition data derive from censuses in which a question is asked about a person's usual residence at a fixed point in the past (one year before the 2001 Census, in the current analysis). Events data derive from registration of the demographic events such as birth or death or migration from one place to another. The variable  $MS^{i,j}$  represents the number of migrant survivors resident in zone  $i$  on 29 April 2000 who live in zone  $j$  on 29 April 2001. Note that, in principle, migration data for the years from 2001-2 onwards are also transition data based on comparison of NHS patient register downloads one year apart. The variables in the principal diagonal,  $S^{i,i}$ , are persons present in zone  $i$  at both the start of the year and the end of the year (stayer survivors). These counts include migrants who moved within the zone.

From the start population are subtracted the deaths (non-survivors) to the zone  $i$  start population, the emigrant survivors from the zone  $i$  population, the sum of out-migrant survivors to other zones in the country. Then we add the sum of in-migrant survivors from other zones within country  $c$  and surviving immigrants from the rest of the world. The stayer survivor terms,  $SS^{i,i}$ , do not appear in this accounting equation. However, we do need to estimate these  $SS^{i,i}$  variables. This is because in the projection model we will use probabilities of migration conditional on survival within the country. These are the sum of elements in the rows of the matrix from City and Westminster to Northern Ireland, including the stayer survivor terms. We estimate these terms by subtracting from the 2001 Census population aged 1+ the total number of in-migrant survivors and the total immigrant survivors.

Given the number of zones, ages and ethnic groups represented in our projection model, we should not expect to find reliable data to count directly the flows and transition probabilities needed for the projection model. Instead we will need to estimate these flows using a variety of sub-models which use more aggregate and reliable data together with a set of assumptions, some testable, some merely plausible in the absence of statistical evidence.

## **4. INPUTS TO ETHNIC POPULATION PROJECTION MODELS**

To carry out projections we need reliable estimates of the base population and components of change. The base population is provided through a census or register, providing the right question has been asked on the census or registration form. In many cases the right classification is missing, particularly in the deaths, births and migration registers. In this section of the paper we describe some methods of indirect estimation that have been used in the UK, which may be useful in other countries where the appropriate classifications are missing.

### **4.1 Base populations**

The model described in section 3.6 is envisaged to project the UK's future population for 374 Local Authorities in England and Wales plus Scotland and Northern Ireland as single zones. For each Local Authority, each ethnic group's population (measured in the Census 2001 and adjusted to 2001 MYE populations) will be projected for single years of age and up to age of 100+, for men and women. This means we will use 16 ethnic groups in England and Wales and convert the 12 ethnic groups for Northern Ireland and the 5 for Scotland into 16 (Rees and Parsons 2006). We use data from the 2001 Census to estimate initial base populations and then use estimates produced by National Statistics for subsequent years (Large and Ghosh 2006a, 2006b).

The 2001 Census provides populations for all UK Local Authorities by single year of age for all groups and by five years for ethnic group. However, the Census was on the 29 April 2001 whereas we want to base our input data on midyear population estimates. To obtain those, we use a few simple assumptions. To get mid-year estimates for the total population, we used mid-year estimates for all persons and divided the 90+ population for England, Wales and Scotland and the 85+ population of Northern Ireland assuming the same population age structure as observed in the Census 2001 for these age groups. To extend the English mid-year estimates for the 16 ethnic groups to age 100+, we use the same assumptions. To finally derive mid-year population estimates for the remaining home countries and their ethnic groups, we computed the factor for each age and Local Authority by which the all persons population changed from the Census 2001 to the midyear estimates of 2001 and multiply the Census 2001 ethnic population data with this factor.

#### 4.2 The estimation of mortality and survivorship for ethnic groups in the UK

A literature review brought to light that to date, none of the UK projections or roll-forward, year by year estimates of ethnic groups (e.g. UK regions: Rees and Parsons 2006; GLA, Boroughs: Bains and Klodawski 2006, 2007; England, Local Authorities: Large and Ghosh 2006a, 2006b; UK: Coleman and Scherbov 2005; Leicester: Danielis 2007) use ethnic-specific mortality. Some work uses mortality rates based on country of birth (Harding and Balarajan 2002), but this method only identifies first generation immigrants.

On the other hand, work conducted in other countries highlights the relevance of using ethnic specific mortality rates in projection models. The United States Census Bureau routinely computes projections by race and Hispanic origin (Campbell 1996) and publishes life expectancies by race (NCHS 2007). In 2003, for example, White men were estimated to have life expectancies in 2003 of 75.3, while for Black men life expectancies were only 68.9. The corresponding figures for women were 80.4 for Whites and 75.9 for Blacks. More examples of ethnic specific mortality are discussed in Rees and Wohland (2008).

If there are reasonable suggestions that ethnicity affects the intensity of mortality, why have UK projections models not already considered that? The answer is because mortality data in the UK are only available as vital statistics for the total population but not for ethnic groups. To our knowledge Rees and Wohland (2008) produced the first detailed estimates for mortality by ethnic groups and local areas conducted for the UK.

So, what can be done to fill this gap in UK demographic statistics? Is there a data source for the UK that can deliver reliable information for all of the ethnic groups at local level and represent some kind of proxy to estimate mortality? Yes there is: the 2001 Census asked questions on reporting on health as either “limiting long-term illness” and “general health”. There have been a large number of studies carried out using American, Danish, Dutch, Finnish and Swedish data on individuals which indicate that self-reported health is a remarkably good predictor of subsequent mortality (for example, Burström and Friedlund 2001, McGee *et al.* (1999) Heistaro *et al.* (2001) Helwig-Larson *et al.* (2003) Franks *et al.* (2003), Singh and Siahpush (2001)). In summary, these studies suggest that:

- Self-reported health status is a strong predictor of subsequent death.
- The relationship for men is different from that for women (i.e. men experience higher mortality than women at each health status, implying they assess their health as better than it actually is).

- Socioeconomic factors are important in explaining mortality variation across groups but self-reported health status still has a significant influence after controlling for them.
- There is variation between racial/ethnic groups in the self-reported health-mortality link but it is not huge.
- There is an important influence of immigrant generation with the first generation having better self-reported health and mortality than subsequent generations.

Figure 2 outlines how the self reported illness data from the UK 2001 Census are used to calculate ethnic mortality on a local area level. In a first step Standardized Illness Ratios (SIRs) are computed for all local authorities in the UK for the whole population (aggregated over ethnicity) and separately for each ethnic group. At the same time Standardised Mortality Ratios for the whole population (SMRs) are calculated from data available from National Statistics for the calendar year 2001. In a next step local authority SIRs for all people are regressed against local authority SMRs for all people. Then this regression relationship is used with the ethnic group SIRs as independent variables to generate ethnic-specific SMRs for each local area. From here, ethnic group mortality rates are estimated by multiplying the all group rates by the ratio of ethnic-specific SMRs to the all group SMR. In addition these ethnic specific mortality rates for local areas are adjusted so that they produce the observed all group number of deaths in 2001. In a final step, the resulting mortality rates are then input to life table routines to generate life tables for all ethnic groups in each local authority, from which survivorship probabilities can be extracted for use in population projection.

[Figure 2 about here]

In the next paragraphs the relationship between SMR and SIR and their application to the SIRs for ethnic groups are described and analysed in more detail.

Figure 3 graphs SMR against SIR for two local authority data sets for both sexes. Table 6 provides the coefficients for the regression lines depicted in the graphs. From Figures 3(a) and 3(b) we can see that the regression slopes do vary between the UK home countries sets of local authorities. The England slope is close to the UK slope; Scotland has considerably steeper slopes than England, while Wales and Northern Ireland have gentler slopes, indicating stronger regression to the mean. In all cases, the male slope is steeper than the female with mortality and illness ranges greater for males. The goodness of fit ( $r^2$ ) varies from a low of 0.16 for females in Northern Ireland to a high of 0.78 for females in Wales; on average it is around 0.5 but higher for males than females. This means about half the variation in SMRs across local authorities is associated with variation in self-reported limiting

long-term illness. Slope coefficients are all below one, indicating that there is regression towards the mean: areas with higher than average SIRs also experience higher than average SMRs but these are closer to the mean; areas with lower than average SIRs also exhibit lower than average SMRs.

[Figure 3 about here]

[Table 6 about here]

Figures 3(c) and 3(d) show what happens for England when we divide LAs into those with above average ethnic minority shares in their population and those with below average shares. Might there be different relationships because of ethnic compositions of the population (equivalent to those between home nations)? The results suggest not: the two sets give almost identical coefficients.

In conclusion, we chose to use different relationships between SIR and SMR for each home nation, under the assumption that the whole population relationship could be applied to each ethnic group. The next step was to estimate SIR for ethnic groups in local areas using 2001 Census data.

Figure 4 provides histograms of the distribution of SIRs for males and females for each of the 16 ethnic groups. *White British* SIRs cluster around the UK mean of 100 with a slightly lower average and comparable distributions for men and women. The *White Irish* SIRs are similar but slightly higher. The *White Other* group has a distribution with a majority of LAs below the UK average. The *Mixed White and Black Caribbean* and *Mixed, White and Black African* groups both exhibit worse illness distributions than White groups with higher than UK averages. The *Mixed, White and Asian* and *Mixed, Other Mixed* have slightly than average SIRs. The *Asian or Asian British* SIRs have the feature that female SIRs are higher than male SIRs. This suggests that Asian men are more reluctant to report limiting long term illness than Asian women. There is evidence from surveys in South East Asia (Lutz *et al.* 2007; Karcharnubarn 2008) that women are significantly more likely to report poor health. The Indian men have about average SIRs while Indian women's average is 23 points higher. Pakistani and Bangladeshi men and women both report significantly high SIRs. *Other Asians* are marginally above average (females). *Black or Black British* groups have contrasting experiences: *Caribbeans* report more illness than average as does the *Other* group, while *Africans* report lower illness. The *Chinese* have the lowest SIR of any ethnic group, while the SIRs of *Other Ethnic\_group* are also below average.

[Figure 4 about here]



The mean life expectancies in England at birth are listed in rank order for men and women in Table 7. The all group mean is placed in the table for reference. The *White British* group has life expectancies slightly above (women) and below (men) the all group mean. The *Chinese* group life expectancies are highest for both men and women. Also above the all group mean for men and women are the *Other White* and *Other Ethnic*. *Black African* similar to the *White British* groups are slightly above (men) and below (women) the all group mean. The largest discrepancies between men and women are observed in the *Indian* (men rank 6/women rank 11) and the *White Irish* (men rank 9/women rank 6) groups. We already noted that Indian women report higher rates of limiting long-term illness, relative to the all group average than men. The lowest life expectancies are experienced by *Bangladeshis*, *Pakistanis*, the *Other Black* group and the *Mixed White and Black Caribbean* group.

[Table 7 about here]

Figures 5 captures the essence of the spatial variation in life expectancy at birth across England for women of each of the 16 ethnic groups. The maps have a simple tricolour code which relates to the overall distribution of life expectancy across all local authorities in the UK. A red shade denotes that the area belongs to the 25% highest life expectancies observed in the UK (81.2 years to 85.9 years for women and 77.2 years to 84.6 years for men); a blue shade indicates the 25% lowest (73.8 years to 78.9 years for women and 68.7 years to 74.5 years for men); the 50% in the middle are shaded grey.

Following features stand out:

- The *gradient* from higher life expectancies in South and East England to lower expectancies in Northern England.
- This gradient is modified by *urban/rural status* of local authorities. Life expectancies in rural areas are higher than expectancies in urban areas. So, in Northern England there is a band of rural local authorities running from North Yorkshire to Cumbria which have favoured life expectancies (Brown and Rees 2006). In South and East England there are local authorities within urban areas which have lower life expectancies, particularly in Inner London and in the eastern LAs of the capital region, the Thames Gateway.
- Four ethnic groups stand out as having most areas in the top quartile of the distribution: *Chinese*, *Black African*, *Other Ethnic* and *White Other* groups, although in Northern England and in South and East England cities, there are local authorities in the middle band.
- Four ethnic groups stand out as having a large number of local areas in the bottom quartile: *Mixed – White and Black Caribbean*, *Pakistani*, *Bangladeshi* and *Black Other* groups.

- The remaining groups – *White British, White Irish, Mixed – White and Black African, Mixed – White and Asian, Mixed – Other Mixed, Indian, Other Asian and Black Caribbean* – have a mixture of high, middle and low life expectancies.

The *spatial patterns* of life expectancy of women presented here are very similar to those we found for men. However, the *levels of female* life expectancies are, of course, are higher than male life expectancies: the gaps range from 3.3 years (Indians) to 4.9 years (Irish). The lowest differences are for the Asian groups; the highest differences are for the Irish and Mixed groups. These estimates support the importance of considering mortality for ethnic groups on a local area level, as we find both significant variations in life expectancies between different ethnic groups as well as for different regions. It is important to note the low life expectation of the Pakistani and Bangladeshi communities, which together make up a large proportion of the non White ethnic group in England. If health inequalities in England are to be reduced, the health issues experienced by these two Asian groups need to be addressed.

These estimates of mortality experiences of ethnic groups in England have the status of provisional statistics. The next steps will be to build explanations of the variations across ethnic groups and local areas. These explanations will include socioeconomic and environmental factors (Brown and Rees 2006). Preliminary analysis indicates that the level of educational qualification explains much of the differences between ethnic groups.

These estimates of ethnic group mortality apply to the year 2001. Before using the survivorship probabilities for the 16 ethnic groups for local authorities in a projection exercise, it will be necessary to update the mortality estimates to 2007 or 2008 and then to make assumptions about future developments in UK ethnic mortality and in the likely convergence/divergence of local authority experience. The life expectancies for UK local authorities from 1991 to 2007 were re-estimated from annual mortality data and mid-year populations supplied by National Statistics for men and women (no ethnic information was available). Some preliminary analysis is shown in Figure 6. The local authorities have been grouped into quintiles on the basis of their deprivation scores at the start of the time series, in 1991. The life expectancies rise steadily through the period and men's life expectancies catch up with those of women. There are clear, systematic and persistent differences between the deprivation quintiles. The poorer the area the lower will be the life expectancy. The relationship with deprivation is stronger for men than women. The differences between quintiles remain largely the same (the estimates for women's life expectancies in 2007 are probably need revision), with perhaps a very small widening between the top and bottom quintiles for men. These

graphs suggest it will be reasonable to apply the same national improvement rates to all local authorities.

[Figure 6 about here]

However, we should exercise some caution because another classification does show that groups of local authorities can experience different rates of change from those of other groups. Figure 7 plots life expectancies for Groups of local authorities as defined in the general classification produced by Vickers *et al.* (2003). Figure 10 shows the Classes in this classification for England and Wales. Groups are the tier above Classes. Again the Groups all show parallel increases between 1991 and 2007, with one significant exception, the Mercantile Inner London Group, which climbs from second bottom out of twelve Groups to third for men and from fifth to first for women. The local authorities in this Group, which are concentrated in Inner West and South West London, have experienced a wave of gentrification in which middle and upper class residents have replaced working and lower class residents. This wave of gentrification has been driven by the expansion of London's financial sector based in the City of London and neighbouring parts of Inner London. It will be interesting to learn whether the deep recession of 2007-2009 (ongoing) will see a reversal of this upward movement.

[Figure 8 about here]

### **4.3 The estimation of immigration**

One important part of our project has been to prepare new estimates on immigration to the UK. We have gathered together into one spreadsheet database called a New Migrant Databank the statistics on migration available from census, survey and administrative sources (Boden and Rees 2008a, 2008b, 2009). The Databank is already revealing interesting differences between the accounts of migration derived from different sources and is generating a re-evaluation of the sub-national distribution of immigration provided by the UK's National Statistics Office. A screen shot of the Databank is shown in Figure 8. On the left hand side, a graph compares for one of England's regions, Yorkshire and the Humber, the time series of immigration estimates based on four sources: the official Total International Migration (TIM) series produced by ONS, the General Practitioner new registrations (GP Regs) of patients recently arrived from outside the UK, the new National Insurance Number (NINo) applications by persons originating in all countries outside the UK and the NINo applications by migrants from the Accession 8 countries, which joined the EU in May 2004. The flat line across the graph provides a comparison with immigrants recorded in the 2001 Census. There are clearly

large discrepancies between these series, in part to do with definition (long-term, short-term migrants; worker vs non-worker; registered worker vs self-employed worker). In this case we think it is likely that the official estimate (TIM statistics) is an overestimate of inflows from abroad to the region (elsewhere there are underestimates). We have produced a synthetic estimate of estimated immigration using these data and local intelligence on which series is likely to be closer to the true picture (Boden and Rees 2009). Further research steps include the classification of sub-national immigration estimates by ethnicity using information from the National Insurance new numbers database and application of local census and national TIM age schedules to estimate the age-sex distribution of immigrant flows.

[Figure 8 about here]

#### **4.4 Estimating ethnic group internal migration**

Recent work on the structure of internal migration by ethnicity in the UK will help greatly in constructing a model to estimate inter-local authority flows in England and Wales by ethnicity. Using the local authority classes from the Vickers *et al.* (2003) classification (Figure 9) and a commissioned table from the 2001 Census, Hussain and Stillwell (2008) extract the net migration flows for 2000-1 for seven ethnic groups. Systematic similarities and differences in migration patterns between the ethnic groups are revealed in Table 8. All ethnic groups are losing internal migrants from *Urban London* (they are being replaced by immigrants). Remarkably *Prosperous Britain* sees gains from all groups other than the White group. This group shows heavy gains to many of the *Rural UK* classes while flows are small into these areas by other ethnic groups and there are many losses. *Urban UK* sees losses by the White and Indian groups but gains among the other ethnic groups. The estimation challenge will be to extend this picture to all 16 ethnicities using information about ethnic group populations at origin and destination ends of the inter-local authority flows.

[Figure 9 about here]

[Table 8 about here]

Information about the age structure of the migration of ethnic groups has been analysed by Stillwell *et al.* (2008). Figure 10 shows that there are clear differences in the level of migration between the groups though the age structures are similar. Raymer *et al.* (2008) and Raymer and Giullietti (2008) have shown how time series of migration flow matrices between 1991 and 2007 by ethnicity can be estimated by combining information from the censuses of 1991 and 2001 with data on migration by

ethnicity available in the yearly Labour Force Survey. The estimation challenge will be to extend this knowledge of internal migration by ethnicity to all 16 ethnicities using information about ethnic group populations at origin and destination ends of the inter-local authority flows.

We are currently working on the estimation of fertility rates for ethnic groups for local areas, having developed and tested a method based on the Child-Woman Ratio combined with local area fertility rates for the whole population for Government Office Regions.

The final variable needed as input to the projection will be emigration rates. Here we need to rely on the UK's International Passenger Survey, enhanced by knowledge of the number of Britons living abroad and using some information on total intra-country out-migration from local areas. These estimates will be quite crude.

## **5. CONCLUDING REMARKS**

This paper has reviewed some recent work on ethnic population projection. We have reviewed the requirements of robust ethnic projections, which include proper understanding of the ethnic classifications available for use and the need to specify ages at single year resolution for projections with the greatest value. In choosing a suitable projection model for implementing the projection, it is necessary to understand fully the nature of the migration information available. A trade-off between the ease of computation of single region models and the complexity but greater theoretical rigour of multi-regional models must be arrived at. But the biggest challenge in many countries, including the UK in particular, is the lack of good data on the components of change. This requires innovative thinking about how proxy data and good statistical methods can be used to supply input variables to the projection. We have described a number of ways in which this can be accomplished in the UK, though much remains to be done.

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**Table 1: Example of the variation in ethnic group classification by home country: ethnic groups in the 2001 Census of the UK**

ENGLAND AND WALES	SCOTLAND	NORTHERN IRELAND
All Ethnic Groups	All Ethnic Groups	All Ethnic Groups
White: British	White	White
White: Irish	Indian	Irish Travellers
White: Other White	Pakistani and other South Asians	Mixed
Mixed: White and Black Caribbean	Chinese	Indian
Mixed: White and Black African	Others	Pakistani
Mixed: White and Asian		Bangladeshi
Mixed: Other Mixed		Other Asians
Asian or Asian British: Indian		Black Caribbean
Asian or Asian British: Pakistani		Black African
Asian or Asian British: Bangladeshi		Other Black
Asian or Asian British: Other Asian		Chinese
Black or Black British: Black Caribbean		Others
Black or Black British: Black African		
Black or Black British: Other Black		
Chinese or other ethnic group: Chinese		
Chinese or other ethnic group: Other Ethnic Group		

**Table 2: Example of harmonization of 1991 Census and 2001 Census ethnic groups for England**

1991 census ethnic category	Component 2001 census ethnic categories
White	White: British White: Irish White: Other 0.5*Mixed: White and Black Caribbean 0.5*Mixed: White and Black African 0.5*Mixed: White and Asian
Black Caribbean	Black or Black British: Caribbean 0.5*Mixed: White and Black Caribbean
Black African	Black or Black British: African 0.5*Mixed: White and Black African
Black Other	Black or Black British: Other
Indian	Asian or Asian British: Indian 0.5*Mixed: White and Asian*Proportion Indian
Pakistani	Asian or Asian British: Pakistani 0.5*Mixed: White and Asian*Proportion Pakistani
Bangladeshi	Asian or Asian British: Bangladeshi 0.5*Mixed: White and Asian*Proportion Bangladeshi
Chinese	Chinese or Other: Chinese
Other Asian	Asian or Asian British: Other
Other Groups	Chinese or Other: Other Mixed: Other

Source: Rees and Butt (2004)

**Table 3: Population Change of Regions by Race and Hispanic Origin: 1995 to 2025 (in millions)**

Region	Total	Non-Hispanic origin				Hispanic origin
		White	Black	American Indian	Asian	
U.S.	72.3	15.6	11.9	0.8	12.0	32.0
Northeast	5.9	2.1	1.5	0.03	2.3	4.2
Midwest	7.3	1.8	1.9	0.2	1.1	2.3
South	29.6	10.4	7.6	0.2	1.8	9.5
West	29.5	5.4	0.9	0.4	6.7	16.0

Source: U.S. Bureau of the Census, Population Division, PPL-47, Preferred Series, PPL-47, table 3.

Source: Campbell (1996), Table F

**Table 4: Summary of UK work on ethnic population estimates and projections**

Source (Author, Year)	Coverage	Spatial unit(s)	Ethnic groups (source)	Time horizon	Output	Model
OPCS (1975)	Great Britain	Great Britain	NCWP (1971 Census)	1966-1974	Estimates	CCM
OPCS (1977a)	Great Britain	Great Britain	NCWP (1971 Census)	1976-1986	Projections	CCM
OPCS (1977b)	Great Britain	Great Britain	NCWP (1971 Census)	1971-1986	Projections	CCM
OPCS (1979)	Great Britain	Great Britain	NCWP (1971 Census)	1976-1991-2001	Projections	CCM
OPCS (1986a, 1986b)	England and Wales	England and Wales	5 groups (1981 Census)	1981, 1983, 1984	Estimates	LFS
Schumann (1999)	Great Britain	Great Britain	11 groups (LFS)	1992-1997	Estimates	LFS
Bradford (1999)	Rochdale	Rochdale	Groups (1991 Census)	1999-2021	Projections	POPGROUP
Bradford (2000)	Bradford	Bradford	Groups (1991 Census)	1999-2021	Projections	POPGROUP
London Research Centre (1999)	Greater London	London Boroughs	10 groups (1991 Census)	1991-	Projections	MRM-GL
Storkey (2002a)	Greater London	London Boroughs	10 groups (1991 Census)	1991-	Projections	MRM-GL
Hollis and Bains (2002)	Greater London	London Boroughs	10 groups (1991 Census)	1991-	Projections	MRM-GL
Coleman and Scherbov (2005), Coleman (2006b)	United Kingdom	United Kingdom	4 groups (2001 Census)	2001-2100	Projections	CCM
Simpson and Gavalas (2005a), Simpson and Gavalas (2005c)	Oldham	Oldham	6 groups (2001 Census)	2001-2021	Projections	POPGROUP
Simpson and Gavalas (2005b), Simpson and Gavalas (2005c)	Rochdale	Rochdale	6 groups (2001 Census)	2001-2021	Projections	POPGROUP
Simpson and Gavalas (2005d), Simpson and Gavalas (2005e)	Stoke	Stoke	5 groups (2001 Census)	2001-2021	Projections	POPGROUP
Bains and Klodawski (2006)	Greater London	London Boroughs	10 groups (2001 Census)	2001-2026	Projections	MRM-GL
Large and Ghosh (2006a), Large and Ghosh (2006b)	England	Local authorities	16 groups (2001 Census)	2002-2005	Estimates	CCM
Rees and Parsons (2006), Rees (2006), Rees (2008), Parsons and Rees 2009	United Kingdom	GORs, Wa, Sc and NI	5 groups (2001 Census)	2001, 2010, 2020	Projections	SRM-R&F
Stillwell, Rees and Boden (2006)	Yorkshire & The Humber	Local authorities	5 groups (2001 Census)	2005-2030	Projections	SRM-R&F
Simpson (2007a), Simpson (2007b) , Simpson (2007c)	Birmingham	Birmingham	8 groups (2001 Census)	2001-2026	Projections	POPGROUP
Bains and Klodawski (2007)	Greater London	London Boroughs	10 groups (2001 Census)	2006-2026	Projections	MRM-GLA
Danielis (2007)	Leicester	Leicester	8 groups (2001 Census)	2001-2026	Projections	POPGROUP

Notes: GOR = Government Office Region, Wa = Wales, Sc = Scotland, NI = Northern Ireland, CCM = Cohort Component Model, POPGROUP= Single region projection software, licensed to users, MRM-GL = Multiregional Model-Greater London for projection SRM-R&F = Single Region Model, Rates & Flows (rates for out-migration and emigration, flows for in-migration and immigration)

**Table 5: A population accounting framework for subnational populations using migration data from the UK census (transition data)**

			Survival in							
Existence in:	DESTINATIONS		England and Wales			Scotland	Northern Ireland	Rest of world	Deaths	Totals
			City of London and Westminster	...	Cardiff					Start Populations
ORIGINS	Zone names	Zones	1	...	374	375	376	R	D	
England and Wales	City of London and Westminster	1	<i>SS</i> <sup>1,1</sup>	...	<i>MS</i> <sup>1,374</sup>	<i>MS</i> <sup>1,375</sup>	<i>MS</i> <sup>1,376</sup>	<i>ES</i> <sup>1</sup>	<i>D</i> <sup>1</sup>	<i>SP</i> <sup>1</sup>
	:	:	:	...	:	:	:	:	:	:
	Cardiff	374	<i>MS</i> <sup>374,1</sup>	...	<i>SS</i> <sup>374,374</sup>	<i>MS</i> <sup>374,375</sup>	<i>MS</i> <sup>374,376</sup>	<i>ES</i> <sup>N374</sup>	<i>D</i> <sup>374</sup>	<i>SP</i> <sup>374</sup>
Scotland		375	<i>MS</i> <sup>375,1</sup>	...	<i>MS</i> <sup>375,374</sup>	<i>SS</i> <sup>375,375</sup>	<i>MS</i> <sup>375,376</sup>	<i>ES</i> <sup>375</sup>	<i>D</i> <sup>1(3)</sup>	<i>SP</i> <sup>375</sup>
Northern Ireland		376	<i>MS</i> <sup>376,1</sup>	...	<i>MS</i> <sup>376,374</sup>	<i>MS</i> <sup>376,375</sup>	<i>SS</i> <sup>376,376</sup>	<i>ES</i> <sup>376</sup>	<i>D</i> <sup>375</sup>	<i>SP</i> <sup>376</sup>
Rest of world	Immigrants	R	<i>IS</i> <sup>1</sup>	...	<i>IS</i> <sup>374</sup>	<i>IS</i> <sup>375</sup>	<i>IS</i> <sup>376</sup>	0	0	<i>IS</i> <sup>*</sup>
Totals	Populations	*	<i>FP</i> <sup>1</sup>		<i>FP</i> <sup>374</sup>	<i>FP</i> <sup>375</sup>	<i>FP</i> <sup>376</sup>	<i>ES</i> <sup>*</sup>	<i>D</i> <sup>*</sup>	<i>T</i> <sup>**</sup>

**Table 6: The parameters for the linear regressions of SMR as a function of SIR**

Local area group	n	Females			Males		
		r <sup>2</sup>	A	b	r <sup>2</sup>	a	b
<i>Scatter plots in Figures 3(a) and 3(b)</i>							
<i>England</i>	352	0.51	52.1	0.48	0.63	47.3	0.52
<i>Wales</i>	22	0.78	60.5	0.37	0.56	54.9	0.39
<i>Scotland</i>	32	0.69	43.9	0.64	0.75	28.3	0.82
<i>Northern Ireland</i>	26	0.16	71.2	0.26	0.40	59.9	0.36
<i>Scatter plots in Figures 3(c) and 3(d)</i>							
UK high ethnic minority	108	0.49	56.9	0.44	0.69	48.4	0.54
UK low ethnic minority	324	0.48	56.9	0.43	0.58	48.9	0.50

Source: Rees and Wohland (2008)

Notes:

1. n = number of local areas, r<sup>2</sup> = squared correlation, a = intercept, b = slope.
2. The equation  $SMR = a + b \times SIR$  was fitted to two different partitions of local authorities: (a) the regression coefficients were calculated for local authorities (LAs) for each home nation England, Wales, Scotland and Northern Ireland and by gender, females and males; (b) the regression coefficients were calculated for LAs and by gender with high ethnic minority/low ethnic minority LAs UK, where high ethnic minority means non white population is more than 8.2 % of the population, 107 of the 108 LAs are in England.

**Table 7: The ranking of mean life expectancy for ethnic groups, men and women, England, 2001**

Rank	Ethnic group	Mean $e_0$ Women	Rank	Ethnic group	Mean $e_0$ Men
1	Chinese	82.1	1	Chinese	78.1
2	Other Ethnic	81.5	2	Other White	76.9
3	Other White	81.3	3	Other Ethnic	76.2
4	White British	80.5	4	Black African	76.1
	All groups	80.5		All group	76.0
5	Black African	80.4	5	White British	75.9
6	White Irish	80.3	6	Indian	75.5
7	White-Asian	80.0	7	Other Asian	75.2
8	Other Mixed	79.9	8	White-Asian	75.1
9	Other Asian	79.5	9	White-Irish	74.9
10	White-Black African	79.5	10	Other Mixed	74.6
11	Indian	79.3	11	Black Caribbean	74.4
12	Black Caribbean	79.1	12	White-Black African	74.2
13	White Black Caribbean	78.7	13	Other Black	73.4
14	Other Black	78.5	14	White-Black Caribbean	73.4
15	Bangladeshi	77.7	15	Pakistani	73.1
16	Pakistani	77.3	16	Bangladeshi	72.7

Source: Rees and Wohland (2008)



**Table 8: Total internal net migration for local authorities in England and Wales classified by a two tier typology, 2000-1**

Area	All	White	Indian	P&OSA	Chinese	Black	Mixed	Other
<b>A</b> Urban UK	-2075	-4404	-979	216	242	2137	562	151
A1a Industrial Legacy	-2261	-1818	-289	-187	-161	107	55	32
A2a Struggling Urban Manufacturing	-8156	-8992	-449	119	25	1204	6	-69
A2b Regional Centres	3536	2708	367	143	238	27	-63	116
A2c Multicultural England	-10694	-9921	-1030	-214	-13	390	-147	241
A3a Redeveloping Urban Centres	15076	13005	406	413	285	415	594	-42
A3b Young Multicultural	424	614	16	-58	-132	-6	117	-127
<b>B</b> Rural UK	54812	53033	384	105	-696	1445	905	-364
B1a Rural Extremes	1453	1476	-16	29	-33	14	-20	3
B1b Agricultural Fringe	14538	14709	-79	69	-345	68	250	-134
B1c Rural Fringe	15815	14859	387	37	-140	312	346	14
B2a Coastal Resorts	5904	6276	-65	57	-126	-57	2	-183
B2b Ageing Coastal Extremities	12286	12206	-59	147	-104	38	61	-3
B2c Ageing Coastal Resorts	7775	7812	-4	-8	-18	7	-26	12
B3a Mixed Urban	-2393	-2535	139	-462	75	237	188	-35
B3b Typical Towns	-566	-1770	81	236	-5	826	104	-38
<b>C</b> Prosperous Britain	-4001	-12316	2496	1084	517	3017	865	336
C1a Historic Cities	4925	3986	455	115	165	229	-59	34
C1b Thriving outer London	-4086	-7713	949	730	163	1399	174	212
C2a The Commuter Belt	-4840	-8589	1092	239	189	1389	750	90
<b>D</b> Urban London	-48736	-36313	-1901	-1405	-63	-6599	-2332	-123
D1a Multicultural Outer London	-21730	-23958	-397	612	88	1901	-515	539
D2a&b Central and City of London	-12576	-8605	106	-641	45	-2183	-828	-470
D3a Black Ethnic Boroughs	-7726	-688	-310	-564	-217	-5095	-689	-163
D3b Multicultural Inner London	-6704	-3062	-1300	-812	21	-1222	-300	-29

Source: Hussain and Stillwell (2008) from 2001 Census Commissioned Table CO711

**Figure 1**

**The proposed 2011 Census questions on ethnicity and national identity**

**(a) Ethnicity question in England**

**16** What is your ethnic group?

Choose one section from A to E, then tick one box to best describe your ethnic group or background.

**A White**

- English/Welsh/Scottish/Northern Irish/British
- Irish
- Gypsy or Irish Traveller
- Any other White background, write in

**B Mixed / multiple ethnic groups**

- White and Black Caribbean
- White and Black African
- White and Asian
- Any other Mixed/multiple ethnic background, write in

**C Asian / Asian British**

- Indian
- Pakistani
- Bangladeshi
- Chinese
- Any other Asian background, write in

**D Black / African / Caribbean / Black British**

- African
- Caribbean
- Any other Black/African/Caribbean background, write in

**E Other ethnic group**

- Arab
- Any other ethnic group, write in

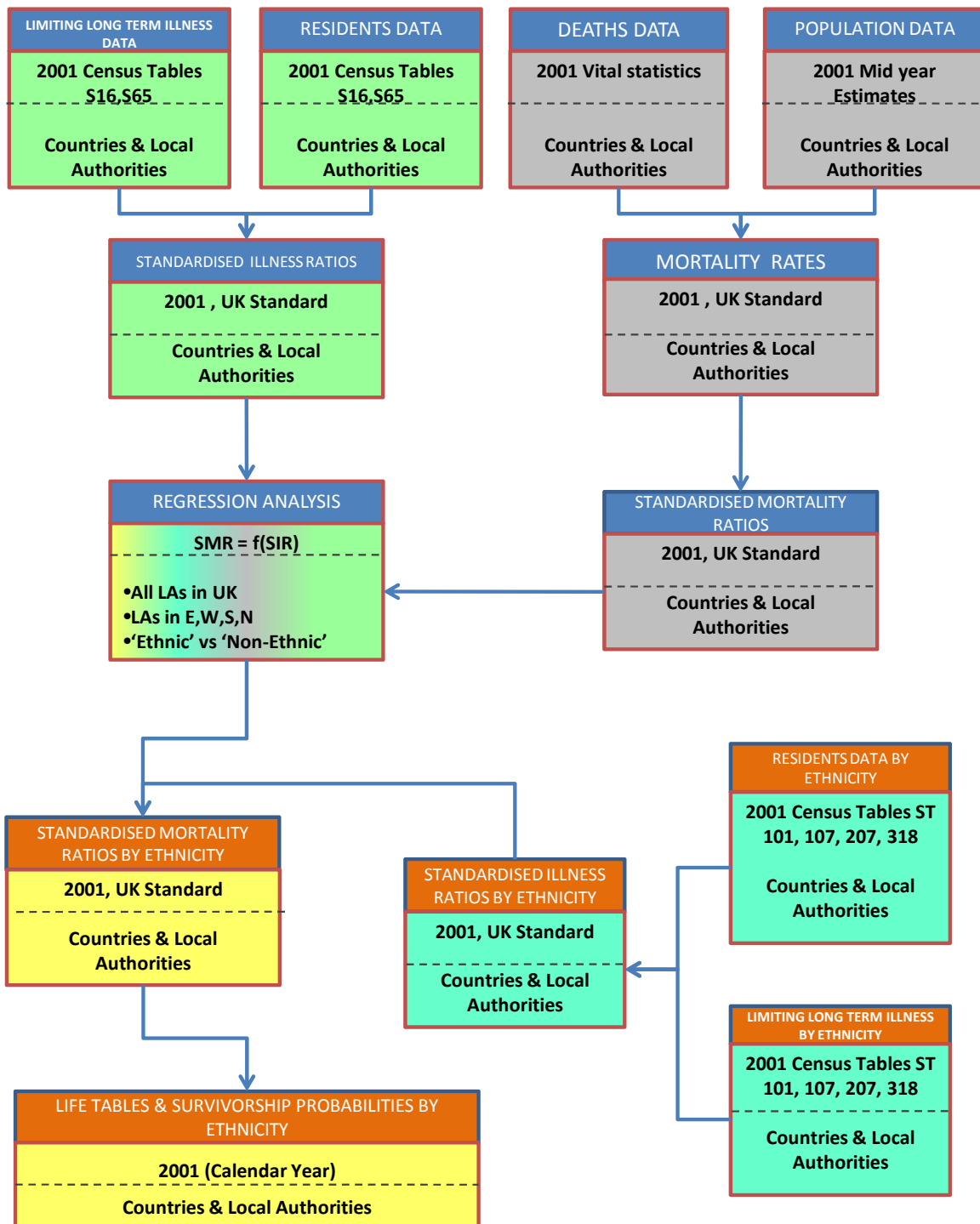
**(b) National identity question in England**

**15** How would you describe your national identity?

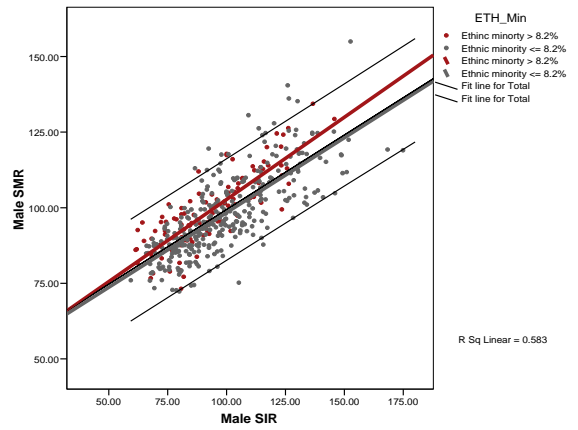
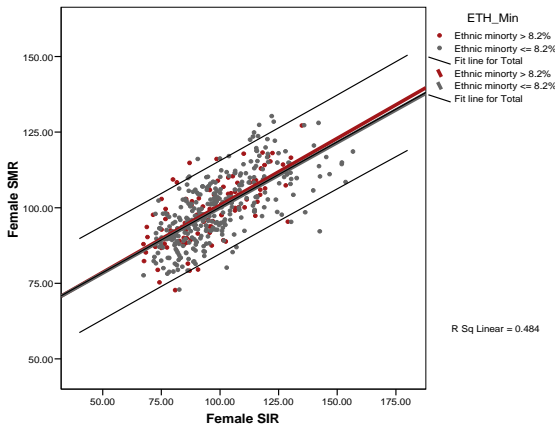
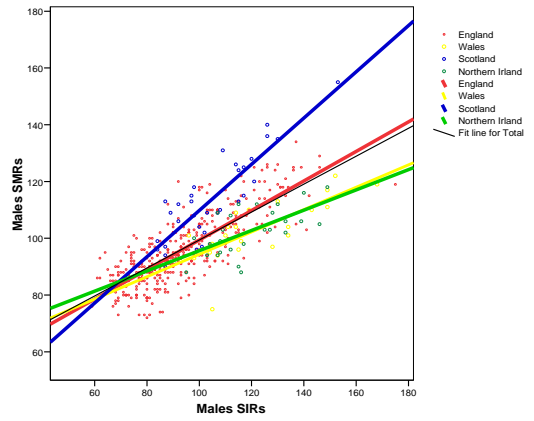
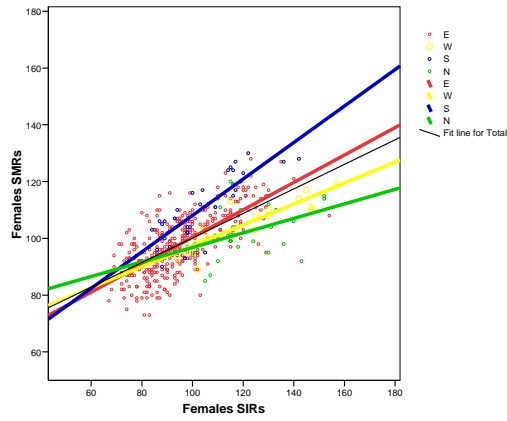
Tick all that apply.

- English
- Welsh
- Scottish
- Northern Irish
- British
- Other, write in

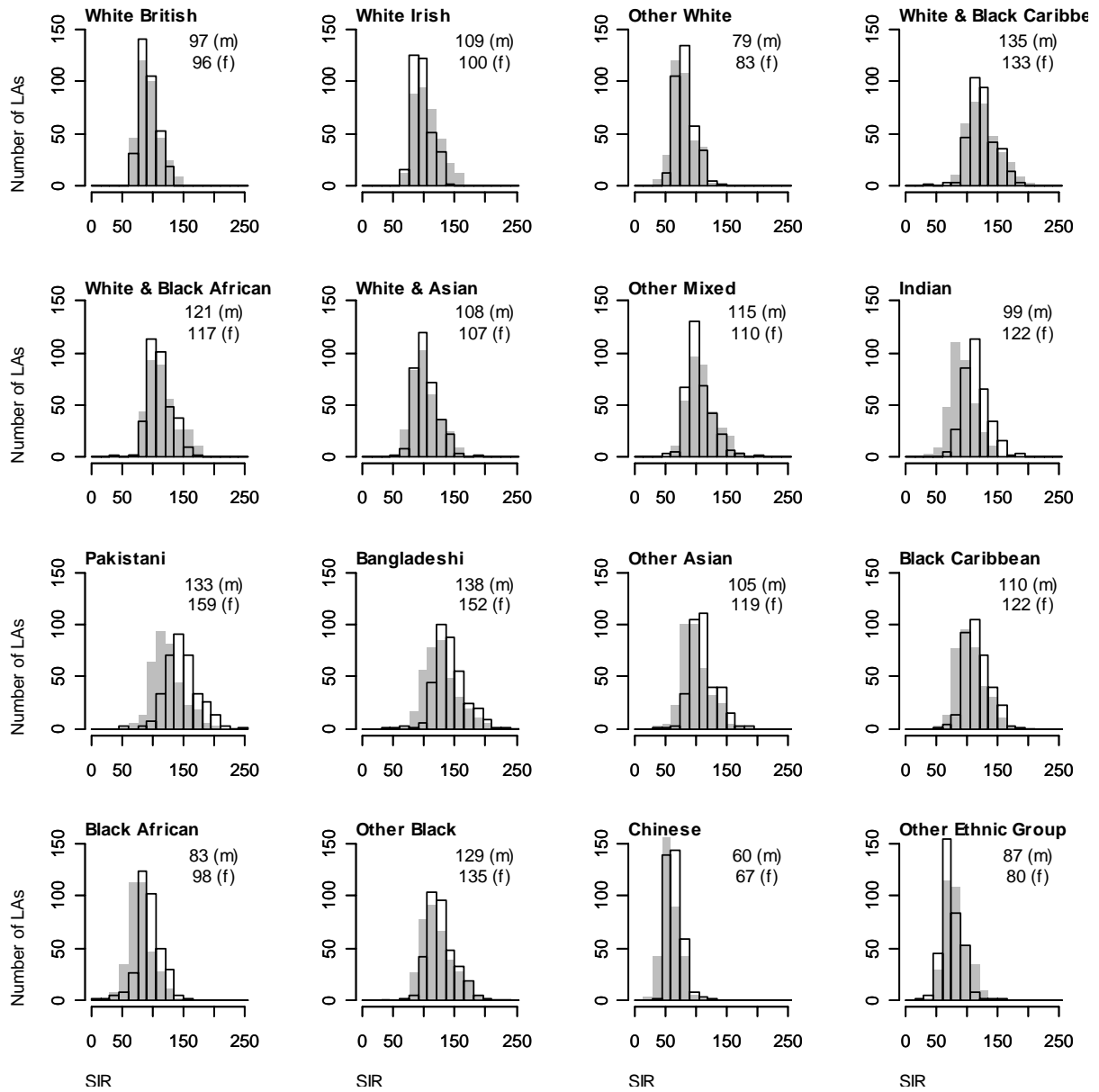
**Figure 1: The proposed 2011 Census questions on ethnicity and national identity**



**Figure 2: The SIR method for estimating ethnic mortality**  
Source: Rees and Wohland (2008)



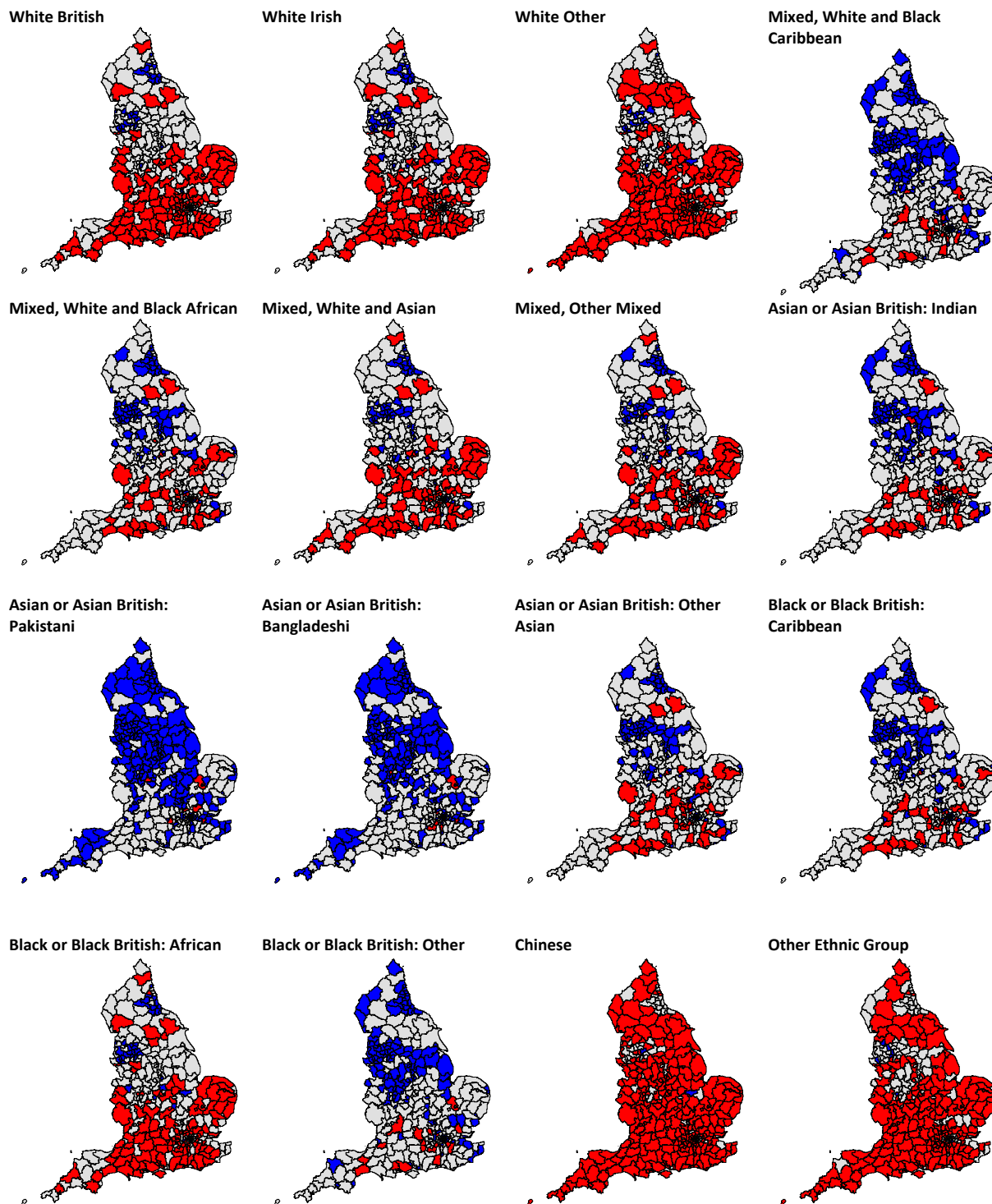
**Figure 3: The relationships between SIR and SMR in UK local authorities by gender:** (a) for all local authorities in the UK and by countries, females, (b) for all local authorities in the UK and by countries, males, (c) for local authorities in the UK with above and below average shares of ethnic minority groups, females, (d) for local authorities in the UK with above and below average shares of ethnic minority groups, males.  
Source: Rees and Wohland (2008)



**Figure 4: The distribution of SIRs for local areas for ethnic groups, England, 2001**

Notes: Grey bars = males, solid bars= females; horizontal axis = SIR (100=UK mean), vertical axis = number of local authorities.

Source: Rees and Wohland (2008)

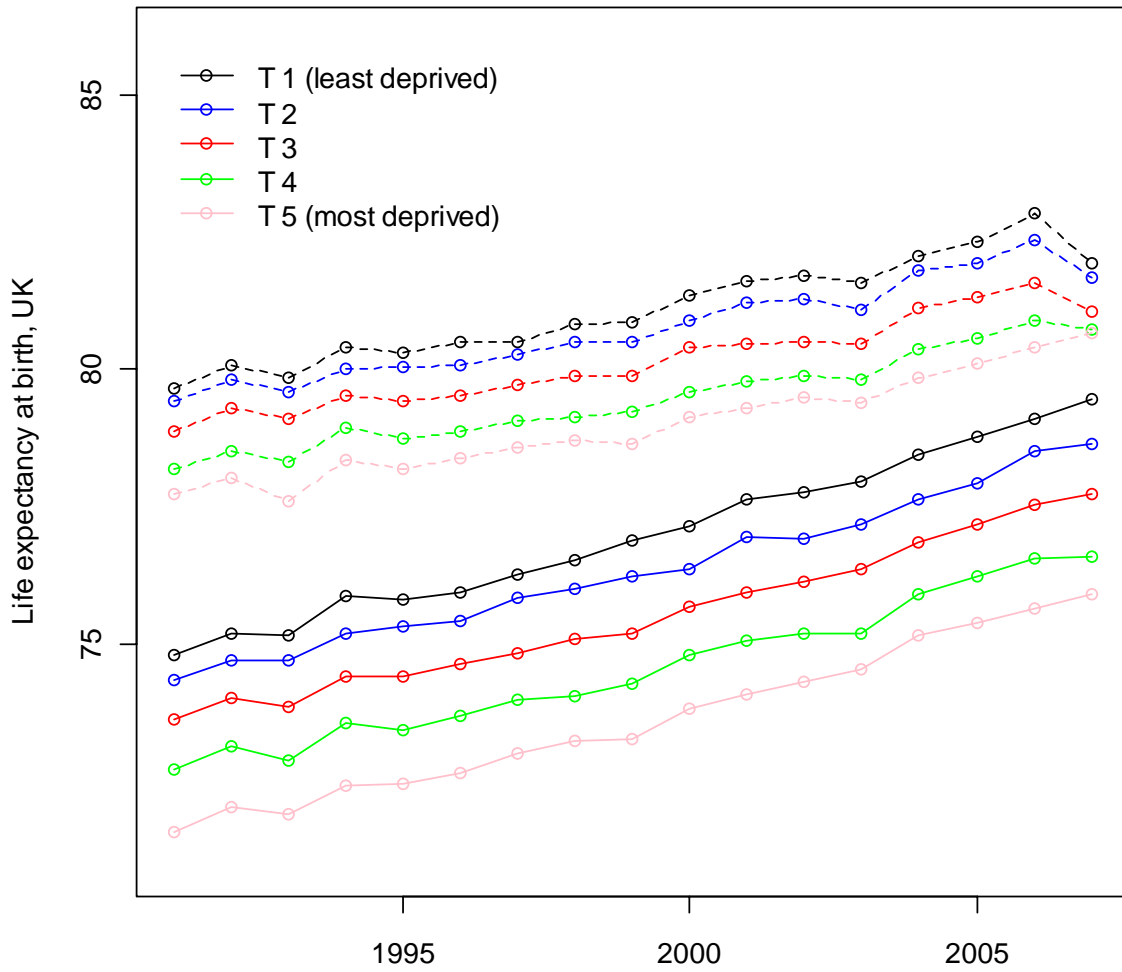


**Figure 5: Maps of life expectancy at birth, for 16 ethnic groups, England, females,2001**

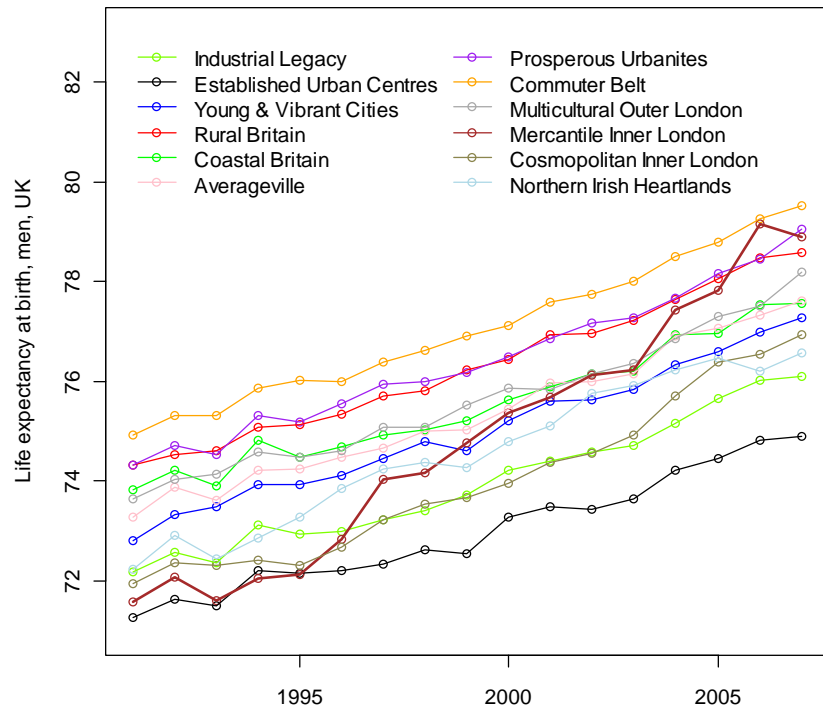
■  $\geq 81.17$  to  $<85.86$ 
   $\geq 78.91$  to  $<81.17$ 
■  $\geq 73.77$  to  $<78.91$

Source: Rees and Wohland (2008)

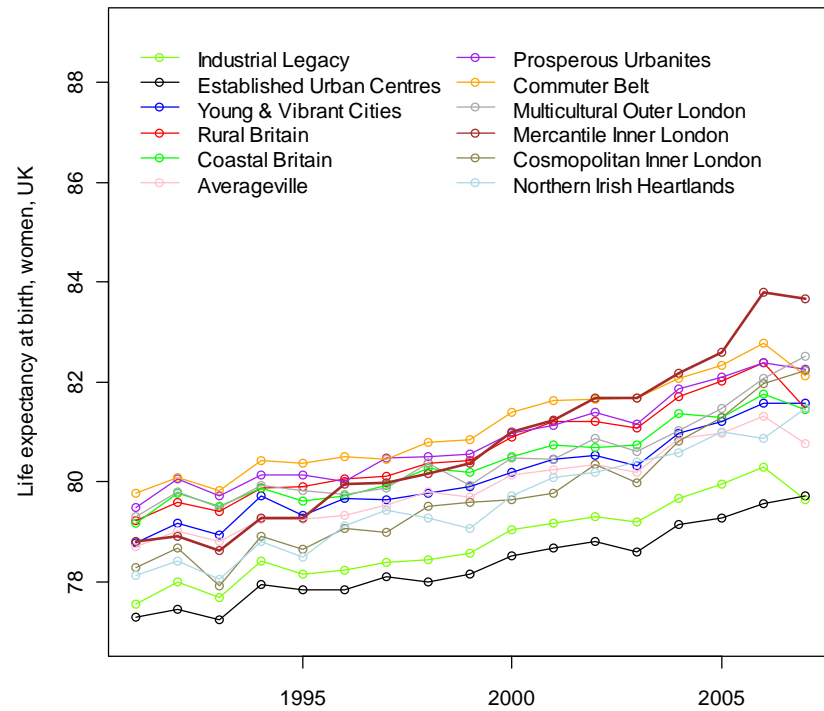
### Townsend deprivation quintiles



**Figure 6: Trends in life expectancy for UK local authorities by deprivation quintile, 1991-2007**  
 Source: Computed from National Statistics mortality and population data for 1991-2007 by Wohland and Rees (2009). Deprivation scores were provided by Norman and are computed using four 1991 Census variables and index formula proposed by Townsend  
 Source: Rees and Wohland (2009)



Male life expectancies



Female life expectancies

**Figure 7: Life expectancy trends for UK local authorities classified by group**

Source: Computed from National Statistics mortality and population data for 1991-2007 by Wohland and Rees (2009). The local authority classification was developed by Vickers et al. (2003).



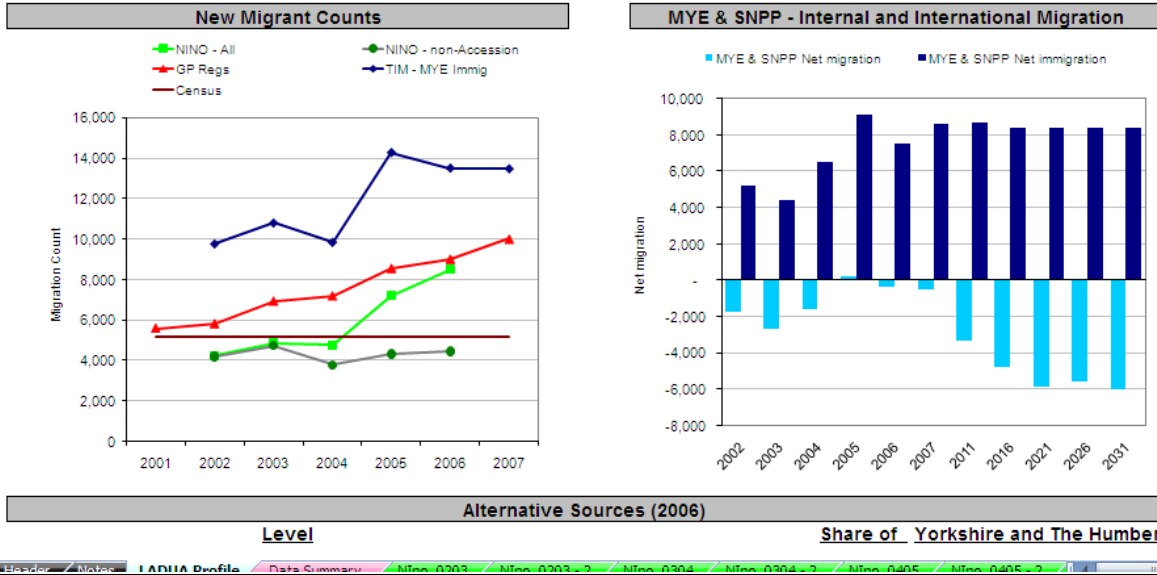
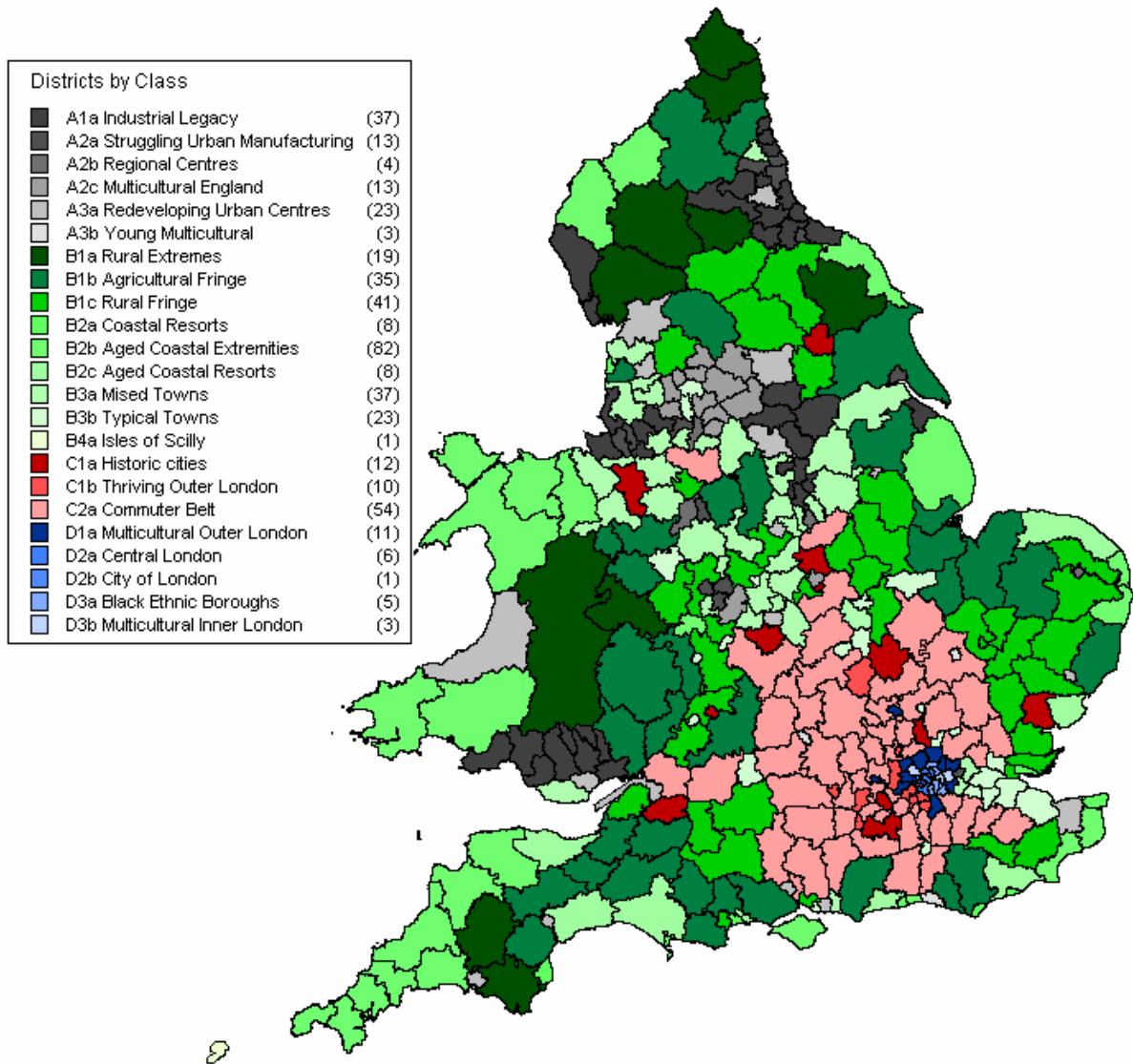


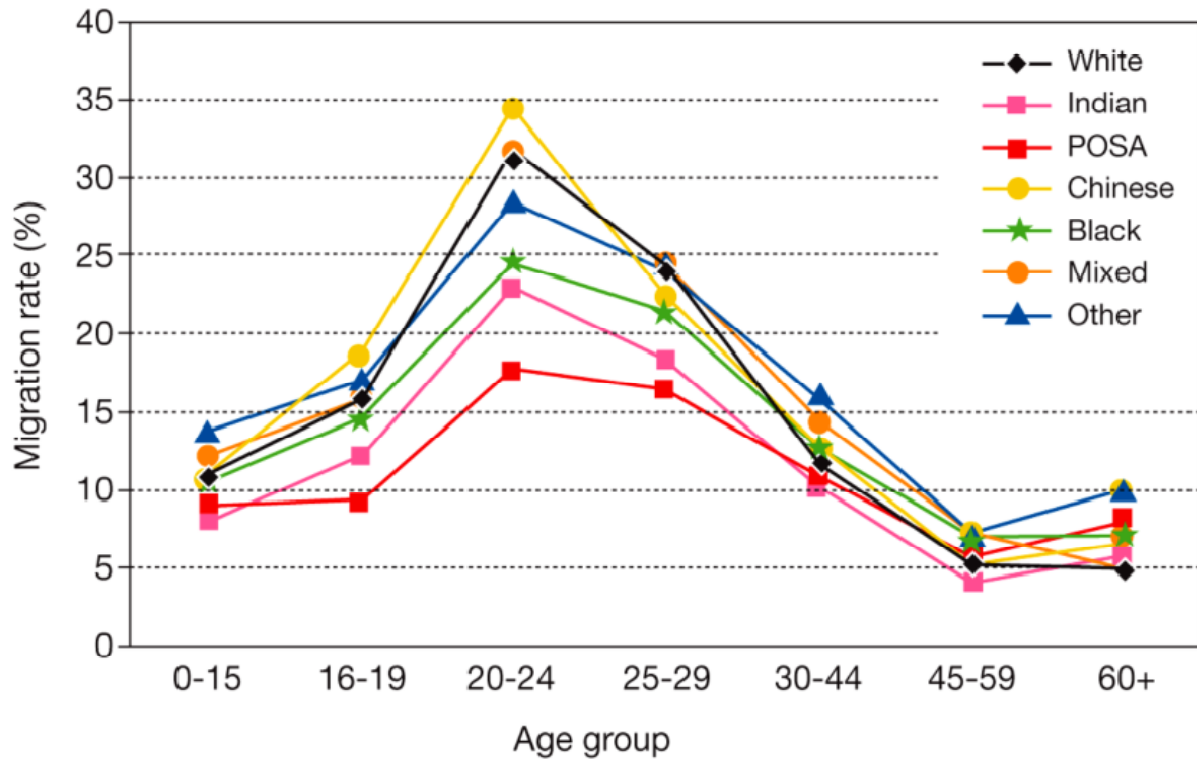
Figure 8: Illustration of the New Migrant Databank for estimating immigration to UK local areas





**Figure 9: The classes of local authority districts in England and Wales**

**Source:** Map from Hussein and Stillwell (2008), Classes from Vickers et al. 2003



**Figure 10: Age-specific migration rates by ethnic group, England and Wales, 2000-01**  
 Source: Stillwell et al. (2008), Figure 2 from 2001 Census, Commissioned Table CO7011.